



JUAN FERNANDEZ-BARQUIN  
CLERK OF THE COURT AND COMPTROLLER  
OF MIAMI-DADE COUNTY

## Internal Audit Management Division



**Final Audit Report:**  
**DAVID Quarterly Quality Control Review**  
**October 1, 2025 – December 31, 2025**

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January 23, 2026



## **Internal Audit Management Division**

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January 23, 2026

The Honorable Juan Fernandez-Barquin, Esq.  
Clerk of the Court and Comptroller of Miami-Dade County

Internal Audit Management performed a quarterly quality control review of certain user activity of the *Driver and Vehicle Information Database (DAVID)* system for the period October 1, 2025 to December 31, 2025, in accordance with the Fiscal Year (FY) 2026 *Clerk of the Court and Comptroller of Miami-Dade County (COCC) - Internal Audit Management Division Annual Audit Plan* and Administrative Order, *Internal Audit Functions*, effective July 1, 2025 (AO-2025-IAF), which governs internal audit functions.

A summary of the review results is presented in this Final Audit Report.

We appreciate the courtesies and assistance extended to our auditors during this review. Please contact me should you have any questions or require additional information.

Sincerely,

Luis Soler  
Assistant Director  
Internal Audit Management Division

cc: Barbara Rodriguez, Chief of Staff, COCC  
Barbara Galvez, Chief Administrative Officer, COCC  
Michael Amador, Senior Deputy Clerk  
Sandra M. Bazile, Senior Deputy Clerk  
Miguel Mukodsi, Chief Information Officer  
Yvette Rodriguez, Director, Traffic and Misdemeanor Court Department



cc: Deborah Gillett, Director, Criminal Court Department  
Dorian Mathis, Director, Juvenile Court Department  
Emilio Vega-Camejo, Director, District Courts Operations Department  
Liza Saboya-Fernandez, Director, Strategic Management and Budget Department  
Santiago Alfonso, Director, Parking Operations Department  
Stephanie Sierra, Chief Auditor, Comptroller Audit Operations Department  
Catherine Benway, Deputy Chief Information Officer, Technical Services Department  
Paul Collado, Assistant Director, District Courts Operations Department  
Raul Diaz, Deputy Chief Information Officer, Technical Services Department  
Sabrina Perez, Assistant Director, Criminal Court Department  
Kenyatta Eleby, Assistant Director, Traffic and Misdemeanor Court Department



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## **EXECUTIVE SUMMARY**

Internal Audit Management performed a Quarterly Quality Control Review (QQCR) pursuant to the *Memorandum of Understanding for Governmental Entity Access to Driver and Vehicle Information Database (DAVID)*, with the Florida Department of Highway Safety and Motor Vehicles (FLHSMV). Also evaluated were the internal controls governing the use and dissemination of personal data to determine if they are adequate to protect the data from unauthorized access, distribution, use, modification or disclosure pursuant to the *Memorandum of Understanding for Driver License and/or Motor Vehicle Record Database (Database MOU)*. To perform the audit, we reviewed certain DAVID user activity for the period October 1, 2025, to December 31, 2025.

During the review, auditors determined that adequate internal controls governing the use and dissemination of personal information are in place to protect DAVID data from unauthorized access, distribution, use, modification, or disclosure. Although no findings of systematic irregularities or impropriety of driver license and/or motor vehicle information was discovered, auditors noted that one user in the Traffic and Misdemeanor Court Department accessed information pertaining to an individual with the same last name. Following auditor notification, the Department determined that the employee accessed a relative's DAVID information in violation of the COCC's DAVID access policies and confidentiality standards stipulated in the DAVID Confidentiality Acknowledgement Form signed by the employee in February 2025. The Department confirmed that remedial training and disciplinary measures will be administered to the employee.



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## **PURPOSE AND SCOPE**

A QPCR was performed pursuant to the *Memorandum of Understanding for Governmental Entity Access to Driver and Vehicle Information Database (DAVID)* system, with the Florida Department of Highway Safety and Motor Vehicles (FLHSMV), *Contract Number HSMV-0051-26*, executed on *July 29, 2025 (Database MOU)*, in effect during the audit period.

Also evaluated were the internal controls governing the use and dissemination of personal data to determine if they are adequate to protect the data from unauthorized access, distribution, use, modification or disclosure pursuant to the *Database MOU*.

To perform the audit, we reviewed certain DAVID user-activity for the period October 1, 2025, to December 31, 2025.



## **BACKGROUND**

Pursuant to the *Database MOU*, the FLHSMV provides electronic access to driver license and motor vehicle information through DAVID, Driver Transcript Web Service, and/or Batch Access and/or Penny Vendor DL Web Service. The COCC uses its in-house-developed Simultaneous Paperless Image Retrieval Information Technology (SPIRIT) system to access driver license and motor vehicle information through the FLHSMV's Driver Transcript Web Service, Batch Access, and/or Penny Vendor DL Web Service.

Driver license and motor vehicle information accessed from the FLHSMV is confidential and protected under the Driver Privacy Protection Act and must be handled accordingly. Unauthorized access, use or disclosure of this information may result in penalties, civil lawsuits and violations of criminal law. Unauthorized use includes, but is not limited to, queries not related to a legitimate business purpose, personal use, and dissemination, sharing, copying, or passing of driver license and motor vehicle information to unauthorized users.

Unauthorized use may result in civil proceedings against the COCC and/or user. Violations or misuse may also subject the user and the COCC to administrative sanctions and possible disciplinary action, which may result in DAVID access termination. The FLHSMV may also terminate the *Database MOU* without notice for failure to comply with any of the requirements and applicable laws. Therefore, activity associated with any aspect of use of information obtained from DAVID is subject to detailed monitoring and audits to protect against unauthorized use. Information obtained from the FLHSMV may be solely used for the purposes granted and may only be disclosed as authorized by state law.

Pursuant to the COCC's DAVID Internal Control Policies and Procedures Manual, Points of Contact (POCs) have been designated in each Department/Division/Section whose employees access DAVID as part of their job functions. The POCs are the gatekeepers between the Department/Division/Section, Technical Services Department (TSD) and the FLHSMV. POCs are required to perform QQCRs to monitor usage, ensure users are appropriately authorized, and have annually acknowledged their understanding of the confidential nature of the information and the possible criminal sanctions imposed for the unauthorized disclosure or use of the data. During their review, POCs are required to look for signs of misuse, including reason codes regarding why an individual was searched; accessing information of siblings, spouses, ex-spouses, celebrities, and political figures; times of day the data was accessed; repeated access of the same record; and unexplained access to Emergency Contact Information. Each POC is required to submit QQCR reports to Internal Audit Management for review. Internal Audit Management also performs an independent review to ensure compliance.



## SUMMARY RESULTS

The following DAVID “Agency Reports” were accessed during our independent review; The *List of POC Contact Information*, which provides all active POCs by location. The *Users by Status*, which provides the total Active, Inactive, and Locked users by location for the period under review. The *Users by Agency* Report provides a listing all users by Department/Division/Section and includes the User’s Full Name, Username, User Status (Active, Inactive or Locked), Current Status Date, Last Sign-in Date, Training Completion Date, and the User ID of the employee who added the user. In addition, the DAVID “Audit Report” titled, “*User Activity Report*” which provides the User Location, User Full Name, Username, IP Address, Time Accessed, Purpose Code, Page Viewed, Search Field and Search Value was also accessed and used to select user transactions to conduct detailed reviews.

As part of the review, each POC’s QQCR reports were audited for the period from October 1, 2025 to December 31, 2025. Comparison of the three DAVID Agency Reports were conducted to reconcile any differences, and then the *User Activity Report* was utilized to randomly pull cases by month for each user provided by POCs, as reflected in Table I below. The information (i.e., driver license number, case number, vehicle tag number) was also reviewed in the Traffic System, Criminal Justice System, Parking Violations System and/or SPIRIT, to ensure the employee accessed valid cases.

**Table I**  
**Summary of Cases Audited in DAVID**  
**October 1, 2025 - December 31, 2025**

Department/Division	Number of Authorized Users	Total Cases
Clerk of the Court and Comptroller	-	-
Criminal Court	9	5
District Courts Operations	25	7
Juvenile Court	3	-
Parking Operations	20	16
Traffic and Misdemeanor Court	25	17
<b>Total</b>	<b>82</b>	<b>45</b>

Source: POC, QQCR, DAVID, COCC Intranet Criminal, Traffic and Parking Applications



Auditors also looked for possible misuse(s) including, but not limited to, purpose codes utilized, time of day information was accessed, repeated access of the same record, unexplained access of Emergency Contact Information, accessing siblings, spouses, ex-spouses, celebrities, or political figures, as required by the *Database MOU*. Further, as reflected in Table II below, auditors reviewed for reasonableness at one hundred percent, all active users’ activity to identify any instances that included customers with the same or similar last name.

**Table II**  
**Number of Transactions in DAVID Department/Division**  
**October 1, 2025 – December 31, 2025**

Department/Division	Total Transactions	Number of Users Processing Transactions
Clerk of the Court and Comptroller (TSD and AM) <sup>1</sup>	-	-
Criminal Court	573	5
District Courts Operations	1,455	16
Juvenile Court	-	-
Parking Operations	4,548	17
Traffic and Misdemeanor Court	13,185	26
<b>Total</b>	<b>19,761</b>	<b>64</b>

Source: DAVID

<sup>1</sup> TSD=Technical Services Department; AM=Audit Management Division

During the review, auditors determined that adequate internal controls governing the use and dissemination of personal information are in place to protect DAVID data from unauthorized access, distribution, use, modification, or disclosure. Although no findings of systematic irregularities or impropriety of driver license and/or motor vehicle information was discovered, auditors noted that one user in the Traffic and Misdemeanor Court Department accessed information pertaining to an individual with the same last name. Following auditor notification, the Department determined that the employee accessed a relative’s DAVID information in violation of the COCC’s DAVID access policies and confidentiality standards stipulated in the DAVID Confidentiality Acknowledgement Form signed by the employee in February 2025. The Department confirmed that remedial training and disciplinary measures will be administered to the employee.



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Traffic and Parking  
Civil, Family Court and Marriage  
Criminal Court  
Jurors  
Home and Property  
Records  
Clerk of the Board

TOP SERVICES PROVIDED:

Pay Parking  
Court Records Search  
Request Certified Copies  
Marriage Licenses  
Pay Traffic Citations  
Jurors

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